



REPORT TO: OVERVIEW & SCRUTINY COMMITTEE

DATE: 19 FEBRUARY 2009

REPORTING OFFICER: CORPORATE DIRECTOR (S151)

SUBJECT: SIGNIFICANT PARTNERSHIPS RISK REGISTERS –
BUILDING CONTROL

WARDS AFFECTED: ALL

1.0 PURPOSE OF REPORT

1.1 To present the committee with the risk register for one of the Council's significant partnerships, the North Yorkshire Building Control Partnership.

2.0 RECOMMENDATIONS

2.1 That the committee review the risk registers attached.

3.0 BACKGROUND

3.1 The Council is required to have risk planning in place for all of its significant partnerships. This is a requirement of Annual Governance Statement Action Plan and will be a key element for success within the new performance framework and Comprehensive Area Assessment.

4.0 REPORT

4.1 The partnerships currently identified as significant by the Council are:

- Community Leisure Ltd
- Moors & Coast Tourism
- Ryedale Strategic Partnership
- Concessionary Fares Partnership
- North Yorkshire Building Control Partnership
- North Yorkshire Audit Partnership
- Safer Ryedale
- York and North Yorkshire Waste Partnership

- 4.2 Attached to this report at annex A is the risk register for the North Yorkshire Building Control Partnership. This was approved by the board of the partnership on the 6 February 2009.
- 4.3 Further partnership registers will be presented to subsequent meetings of the committee.

Background Papers:

None.

OFFICER CONTACT:

Please contact Paul Cresswell, Corporate Director (s151), if you require any further information on the contents of this report. The officer can be contacted at Ryedale House, 01653 600666 ext 347
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Service Risk Register

No	Cat	Risk Area	Inherent Risk-Score	Consequence of Failure to Manage	Existing Control	Residual Risk Score	Reviewed Risk Score	Target Risk Score
BC1	Prof	Maintaining staff levels	C2	Poor image with partners and partner authorities. Failure to deliver customer expectations. Credibility. Low staff morale. Potential loss of income. Increased expenditure due to additional training/relocation	Employment contracts. Conditions of service. Staff development reviews. Home Working. Modifying work arrangements to meet personal needs.	C3	C4	
BC2	Legal	Effective implementation of legislation.	B1	Financial cost to NYBCP and partner councils.	Professional training. Member/officer training.	D3	D4	

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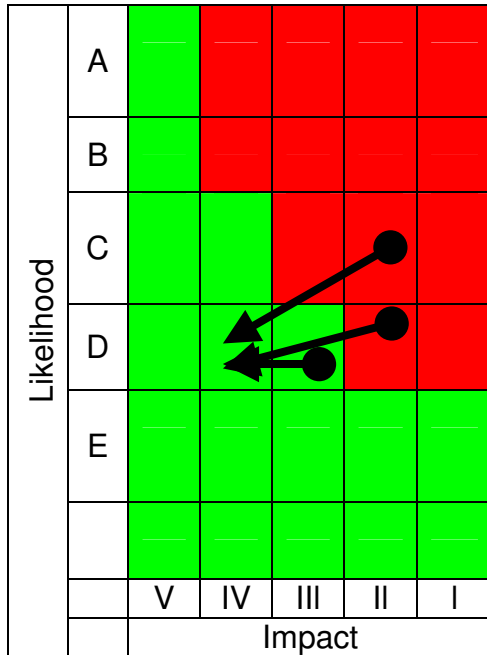
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No	Cat	Risk Area	Inherent Risk-Score	Consequence of Failure to Manage	Existing Control	Residual Risk Score	Reviewed Risk Score	Target Risk Score
				Credibility. Poor reputation.	Procedure manuals. ISO/IIP. CPD Seminars. In-house technical seminars.			
BC3	Tech	IT Failure	A1	Service fails. Reputation. Loss of business. Financial loss.	Systems backup at partner Councils. Contingency plan Field officers use B C laptops for fieldwork, limiting the loss of operational data.	D2		D3
BC4	Fin	Loss of revenue to competition and decline in market.	B1	Service fails. Increased charges – reputation – loss of business.	Marketing Plan. Other contracts outside the partner Councils.	C2		D4
BC5	Prof	Failure to identify and support 'business continuity'.	B1	Failure of the partnership. Staff redundancies	Business Continuity Plan for the Partnership and for each Partner Authority drawn up in line with the Civil	C3		D4

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				Financial cost. Reputation.	Contingencies Act.			

Risk Management Action Plan



Likelihood:
A = Almost Certain
B = Very Likely
C = Likely
D = Not Likely
E = Very Low

Impact:
I = Disaster
II = Major
III = Medium
IV = Minor
V = Low

Inherent Risk Score	Residual Risk Score	Target Risk Score	Description
C2	C3	D4	Maintain staffing levels
B1	D2	D3	Effective implementation of Legislation
A1	D2	D3	IT Failure
B1	C2	D4	Loss of revenue to competition and decline in Market
B1	D3	D4	Failure to support business continuity

	Action/Controls Already in Place	Adequacy of Action/Control to Address Risk
1	Maintaining staffing levels – conditions of service / flexible working arrangements / training policy / performance monitoring / workload monitoring	Retention and development of staff to meet current and future service requirements
2	Affective implementation of legislation – training policy / procedure manuals / ISO / IIP	
3	IT Failure – system backed up at NYBCP and each partner authority	Service Agreements to be drawn up with each of the IT departments to ensure that all areas are covered.
4	Loss of revenue to competition and decline in market – monitoring reports	Need to revise and implement a marketing plan.
5	Failure to identify and support ‘business continuity’ – business planning and monitoring activity	Appropriate strategies need to be implemented to address both long and short-term service delivery

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	Required Management Action/Control	Resource Implication	Responsibility for Action	Critical Success Factors & KPI's	Review Frequency	Key Dates
1	Retention and development of staff to meet current and future service requirements	Staff time and training budget	BCM/SBCO & SAO	Availability of adequate resources – LPI14	Monthly	Ongoing
2	Affective implementation of legislation	Staff time and training budget	BCM/SBCO & SAO	Availability of adequate resources – LPI14	Monthly	Ongoing
3	Service Agreements to be drawn up with each of the IT departments to ensure that all areas are covered	Staff time	BCM/SAO/Partner Council IT Departments	Links to KPIs	Monthly	Ongoing
4	Need to revise and implement a marketing plan	Staff time	BCM/SAO	N/a	Ongoing	To start 01/04/09
5	Appropriate strategies need to be implemented to address both long and short-term service delivery	Staff and Board Member Time	BCM / Board Members /Directors	Links to KPIs Effective and timely decision making and process (short and long-term planning)	Ongoing	

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